

ISO 27001 Certified



NSDL - Trusted by more than One Crore account holders

'KNOWLEDGE WINS' contest. Kindly refer to pg. No.7 for more information

Guidelines with regard to Power of Attorney (POA) registration/ de-registration

For the purpose of deletion of POA holder name in the DPM system, Participants are required to obtain written request duly signed by the Client. Participants have been seeking clarification whether deletion of POA holder name in the DPM System can be carried out based on the instructions received from the POA holder. In this regard, Participants can also carry out changes for deletion of POA holder in the DPM System based on written request of the concerned POA holder. Further, deletion of POA in DPM system can also be effected based on the information received from POA holder about revocation of POA by Client.

Further details about this circular are posted on NSDL website www.nsd.co.in.

(Ref: Circular No. NSDL/POLICY/2013/0054 dated April 10, 2013)

Amendments to Business Rules of NSDL

The following amendments to Business Rules of NSDL have been notified:

1. "The Participant shall execute the request for closure into the DPM (DP) within 7 days from the date of receipt of request for closure. Provided that in case there are pending dematerialization requests or securities that cannot be transferred or rematerialized due to any

restrictions such as lock-in securities or ISIN is frozen, the Participant shall execute the request for closure for the remaining securities, if any".

2. Annexure JA (*Form for Nomination / Cancellation of Nomination*) of Business Rules has been amended. Participants can continue to use their existing stock of Annexure JA till such time it is exhausted and would be required to print the amended Annexure JA thereafter.

Further details about this circular are posted on NSDL website www.nsd.co.in.

(Ref: Circular No. NSDL/POLICY/2013/0055 dated April 15, 2013)

Amendment to Annexure B (*agreement between the Participant and its Client*) of Bye Laws of NSDL

New Clause 18 pertaining to Force majeure has been inserted in Annexure B (*agreement between the Participant and its Client*) of the Bye Laws of NSDL and the existing Clause 18 is re-numbered as Clause 19. Participants have been advised as under:

- In case of existing Clients, intimate them about aforesaid Clause 18 (*Such intimation can be sent to Clients via email or alongwith the next monthly/ quarterly transaction statement*).
- In case the Participant has stock of printed agreement between the Participant and the person seeking to

Inside :

➤ Performance Summary

Investor Accounts	12,728,104
Participants	282
Participant Service Centres	14,668
Demat Custody	₹ 78,34,055 Cr.
Settlement	₹ 99,447 Cr.
Companies available for demat	10,920
Instruments	
❖ Debentures/Bonds	9,035
❖ Securitised Instruments	819
❖ Commercial Papers	1,386
❖ Certificates of Deposit	1,305

➤ Corporate Action Statistics

➤ Companies Name Change

➤ List of New Participant Centres

➤ Securities Admitted For Dematerialisation

➤ Calendar of Events

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open a depository account for new Clients, Participants may continue to use the existing stock till the stock is exhausted provided:

- a. Clients are made aware about the amendments at the time of account opening; and
- b. Written intimation is sent to Clients about the amendments after the account is opened.

Further details about this circular are posted on NSDL website www.nsdl.co.in.

(Ref: Circular No. NSDL/POLICY/2013/0050 dated April 4, 2013)

Change of Name of Karta in respect of 'HUF' depository accounts

SEBI had vide circular No. CIR/MRD/DP/27/2012 dated November 1, 2012 (Ref: NSDL Circular no. NSDL/POLICY/2012/0125 dated November 2, 2012) informed procedure for change of name in Beneficial Owner (BO) account. In this regard, to facilitate Participants to change the name of Karta in the HUF account, the client modification facility has been enhanced to change the name of Karta in the HUF account opened under type/sub type as 'Resident-HUF'. All the other operating procedure while executing change of name of Karta in the HUF account will be same as per the operating procedure for change of name in the BO account.

Further details about this circular are posted on NSDL website www.nsdl.co.in.

(Ref: Circular No. NSDL/POLICY/2013/0056 dated April 16, 2013)

Closure of 'Maverick Share Brokers Limited' and 'AN Capital Markets Limited' Participant Operations

NSDL has informed all its Participants that Maverick Share Brokers Limited (DP ID IN303518) and AN Capital Markets Limited (DP ID IN303876), Participants of NSDL, have informed NSDL about their decision to discontinue depository operations.

(Ref: Circular Nos. NSDL/PI/2013/0955 dated April 29, 2013 and NSDL/PI/2013/0971 dated April 30, 2013)

Subscription to *SPEED-e*

During May 2013, one more Participant has subscribed to the *SPEED-e* facility viz.,

- Nakamichi Securities Limited (DP ID IN304004)

Clients of the above mentioned Participant can now avail of the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 119.

Training Programmes for Participants:

➤ NCDO/NISM training / certification programmes for Participants

NSDL has made arrangements with NISM to facilitate staff of Participants to get certified for NISM-Series VI Depository Operations Certification Examination (DOCE) as per SEBI requirements. A training programme is conducted by NSDL for Participant's staff followed by NISM-Series VI-DOCE online test conducted by NISM. In April 2013, NSDL conducted four such training programmes at Ahmedabad, Mumbai and New Delhi which were attended by 69 officials nominated by various Participants.

➤ CPE Training Programme for Participants

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE programmes for associated persons of market intermediaries who are holding one of the valid certificates such as NCFM (NSE's Certification in Financial Markets), NCDO (NSDL's Certification in Depository Operations), BCCD (BSE's Certification on Central Depository), Certificate on completion of CDSL's DP training programme on Central Depository Services (India) Limited & NISM Series VI- Depository Operations Certification Examination (DOCE). In April 2013, NSDL conducted two such training programmes at Mumbai and New Delhi which were attended by 10 officials nominated by various Participants.

Investor Education initiatives undertaken by NSDL

- **Investor Depository Meets (IDMs):** NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs were organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the

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depository environment. During April 2013, NSDL organised eight IDMs in Bangalore & Mysore in Karnataka, Raipur & Bilaspur in Chhattisgarh and Pollachi, Gobichettipalayam & Coimbatore in Tamil Nadu which were attended by 480 investors.

- **Joint Awareness Programmes with Securities and Exchange Board of India (SEBI) & National Stock Exchange of India Limited (NSE):** In order to reach out to investors that are spread across the country and to apprise them about the facilities available in NSDL depository system, NSDL conducted five Joint Awareness Programmes with SEBI & NSE in April 2013 at Guwahati in Assam, Shillong & Tura in Meghalaya, Mayabunder in Andaman and Nicobar Islands and Itanagar in Arunachal Pradesh which were attended by 1,170 investors.
- **Joint Awareness Programme for RGEES:** To promote “Rajiv Gandhi Equity Savings Scheme” (RGEES) introduced for the ‘new retail investors’ & to spread awareness about RGEES in the securities market, NSDL conducted Investor Awareness Programme jointly with Quantum Global Securities Limited (DP ID IN303411).
- **Corporate Awareness Programme (CAP):** Corporate Awareness Programme (CAP) was conducted in Duliajan in Assam in April 2013 to educate employees and shareholders of Oil India Limited regarding benefits of dematerialisation. This training programme was attended by 24 officials of Oil India Limited.
- **Training Programme organized by Institutions:** In April 2013, NSDL conducted a training programme to educate students from M.L. Dahanukar College of Commerce, Mumbai regarding recent developments taking place in the NSDL Depository system and the various features available in the NSDL Depository system. This programme was attended by 100 students.

Performance Summary

Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Apr-11	115.91
Apr-12	120.87
Apr-13	127.28

Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Apr-11	293	12,898	1,430
Apr-12	283	14,040	1,551
Apr-13	282	14,668	1,585

Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Apr-11	47,446	65,89,081
Apr-12	58,336	71,23,861
Apr-13	69,262	78,34,055

Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Apr-11	619	1,08,491
Apr-12	514	88,512
Apr-13	506	99,447

(Single Side)

Companies

EQUITY		DEBENTURES / BONDS			SECURITISED INSTRUMENTS				
Month - Year	No. of Companies Operational	Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)	Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-11	8,898	Apr-11	596	6,942	8,58,401	Apr-11	541	835	38,528
Apr-12	9,814	Apr-12	606	7,790	10,42,322	Apr-12	534	792	36,319
Apr-13	10,920	Apr-13	751	9,035	12,81,874	Apr-13	541	819	45,335

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COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-11	214	1,268	1,24,694
Apr-12	225	1,481	1,33,530
Apr-13	222	1,386	1,62,189

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-11	43	1,250	4,67,618
Apr-12	45	1,466	4,74,146
Apr-13	43	1,305	4,04,504

Corporate Action Statistics

Sr. No.	Description	During April 2013		For F.Y. 2013 - 14 (Upto April 2013)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	194,154	9,505,170,953	194,154	9,505,170,953
2	Preference Shares	6,129	1,591,248,898	6,129	1,591,248,898
3	Bonds	1,768	470,626	1,768	470,626
4	Commercial Papers	591	1,567,321	591	1,567,321
5	Certificates of Deposit	201	2,535,111	201	2,535,111
6	Securitized Instruments	38	1,824,367	38	1,824,367
7	Mutual Fund Units	35,558	480,388,505	35,558	480,388,505
8	Warrants	13	27,340,000	13	27,340,000
9	Commodities	167	616,880	167	616,880
10	Mutual Fund Units (Trase)	12,342	88,497,517	12,342	88,497,517
	Total	250,961	11,699,660,178	250,961	11,699,660,178

List of Companies that have changed Names

(During April 2013)

Sr. No.	Old Name	New Name
1	ACE Calderys Limited	Calderys India Refractories Limited
2	SKOL Breweries Limited	SABMiller India Limited
3	GE Money Housing Finance	Magma Housing Finance
4	Paras Pharmaceuticals Limited	Reckitt Benckiser Healthcare India Limited
5	Writers And Publishers Limited	Writers and Publishers Private Limited
6	Pyramid Trading and Finance Limited	Mishka Finance And Trading Limited
7	Dr M Soy and General Foods Limited	Dr. M Soy Proteins Limited
8	Spanco Nagpur Discom Limited	SND Limited
9	Religare Asset Management Company Private Limited	Religare Invesco Asset Management Company Private Limited
10	Associated Hotels Limited	Associated Hotels Private Limited
11	BOC India Limited	Linde India Limited
12	Pantaloon Retail (India) Limited	Future Retail Limited
13	Subhkam Capital Limited	Aagam Capital Limited
14	Potential Semac Consultants Private Limited	Semac Consultants Private Limited
15	Anuvin Industries Limited	Neo Infracon Limited
16	RK Wind Limited	PowerWind Limited
17	Nov Sara India Private Limited	Sara Sae Private Limited
18	Singhvi Investment & Finance Private Limited	Equitas Micro Finance Private Limited
19	Elder Hides and Leather Private Limited	Elder Infosystems Private Limited
20	Shree Ganesh Jewellery House Limited	Shree Ganesh Jewellery House (I) Limited
21	Monnet Sugar Limited	Monnet Project Developers Limited
22	Gati Infrastructure Limited	Gati Infrastructure Private Limited
23	Alcatel-Lucent Network Management Services India Limited	Telesonic Networks Limited

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New Participant Centres

<p>ANDHRA PRADESH</p> <p>BAPATLA HDFC Bank Limited A.G.College Road, Ph.: 08643-225559</p> <p>CHITTOOR HDFC Bank Limited Sri Ranga Towers, Ph.: 08572-222016</p> <p>HYDERABAD Sharekhan Limited Opp. Vijay Textiles Ameerpet, Ph.: 040-40033629 -31 / 09701360903 D.K. Nagar Nr. Andhra Jyothi Ph.: 07799302222</p> <p>MADANAPALLE HDFC Bank Limited C. T. M. Road, Ph.: 08571-223274</p> <p>MARKAPUR HDFC Bank Limited Tarlupadu Road, Ph.: 08596-226111</p> <p>NIZAMABAD Sharekhan Limited Namdevwada, Ph.: 09848067151</p> <p>RENIGUNTA Sharekhan Limited Post Office Road Chittoor, Ph.: 09052481636</p> <p>BIHAR</p> <p>PATNA Sharekhan Limited Buddha Marg, Ph.: 0612-2227101-04 / 09905048844</p> <p>CHHATTISGARH</p> <p>BHILAI Sharekhan Limited Ashish Nagar West Risali, Ph.: 09407984065</p> <p>GUJARAT</p> <p>AHMEDABAD Sharekhan Limited Gauricinema Rakhial, Ph.: 09898970754 Vasna, Ph.: 09824077081</p> <p>BHARUCH Sharekhan Limited Nr. GEB Maktampur, Ph.: 02642-245851 / 09924933557</p> <p>BHUJ Sharekhan Limited R.T.O. Relocation Site Kutch, Ph.: 09879647812</p> <p>IDAR Sharekhan Limited Shop No 64 Ground Floor Ambica Shopping Damodar Complex, Ph.: 02778-252471 / 09427742471</p> <p>JAMNAGAR Sharekhan Limited 1st Floor Opp. Townhall, Ph.: 0288-663927 / 09428075761 / 09429141489</p> <p>NAVSARI Sharekhan Limited Santadevi Road, Ph.: 09725991665</p> <p>RAJKOT Sharekhan Limited Opp. Vrindavan Dairy Jasdand, Ph.: 02821-220757 / 09925316345</p> <p>SOJITRA Sharekhan Limited Kundwali Bhagol, Ph.: 02697-234226 / 09924421644</p>	<p>SURAT HDFC Bank Limited Main Road At Village Sampura, Ph.: 02621-265355 Sharekhan Limited Ring Road, Ph.: 0261-4034545 / 09898656789 Varachha Road, Ph.: 09979146420</p> <p>SURENDRANAGAR HDFC Bank Limited 80, Feet Road, GIDC -Wadhwan, Ph.: 09825097497</p> <p>VADODARA Sharekhan Limited Gotri Road, Ph.: 09327550856 Opp ABS tower Old Padra Road, Ph.: 0265-6649268 / 09724343010 Amin Khadki, Ph.: 09824341472</p> <p>HARYANA</p> <p>CHEEKA Sharekhan Limited Guhla Road, Ph.: 01743-220384 / 08053750050</p> <p>GOHANA Sharekhan Limited Sonipat, Ph.: 01263-252203 / 09812312225</p> <p>JIND Sharekhan Limited Ph.: 06181-247491/09729314820</p> <p>PANIPAT Sharekhan Limited 23-B Virat Nagar, Ph.: 0180-4011921 / 09991761740</p> <p>HIMACHAL PRADESH</p> <p>KULLU Sharekhan Limited Shastri Nager, Ph.: 0192-222260 / 09903094013</p> <p>JAMMU & KASHMIR</p> <p>BARAMULLA HDFC Bank Limited Near Sherwani Hall, Ph.: 01952-234559</p> <p>SRINAGAR HDFC Bank Limited MA Link Road Munawarabad, Ph.: 0194-2489925 Near Hatrik Restaurant, Ph.: 0194-2414028</p> <p>JHARKHAND</p> <p>GIRDHI Sharekhan Limited Whitty Bazar, Ph.: 09431144865</p> <p>KARANATAKA</p> <p>BANGALORE Sharekhan Limited Sarvaboumanagar, BG Road, Ph.: 09980570359</p> <p>KERALA</p> <p>IRINJALAKUDA Sharekhan Limited 1st Floor Kombara, Ph.: 0480-3273388 / 09946242003</p> <p>KOLLAM Sharekhan Limited Gurudev Nagar 21, Ph.: 0474-2732582 / 09946661665</p> <p>PALAKKAD Sharekhan Limited Kanjicode Pudukkery Grama Panchayath, Ph.: 0491-6450179 / 09946666242</p>	<p>THRISSUR Acumen Capital Markets (India) Limited D N M Road Patturaickal, Ph.: 0487-2336209</p> <p>MADHYA PRADESH</p> <p>RATLAM Sharekhan Limited Sant Nagar, Ph.: 09424501931</p> <p>MAHARASHTRA</p> <p>AKOLA HDFC Bank Limited Oak Marg, Jatharpeth, Ph.: 0724-2490258</p> <p>AMBAJOGAI Sharekhan Limited Prashant Nagar Beed, Ph.: 02446-243043 / 09503794343</p> <p>MUMBAI Axis Bank Limited Ashok Nagar, Kandivali (E), Ph.: 022-28853142 -141 Sharekhan Limited 69 Bhandari Street Mandvi, Ph.: 022-23631589 / 9820543549 Chandivali, Ph.: 09819021830 Cuffe Parade, Ph.: 6777364 Opp Oshiwara Bus Depot, Goregaon (W), Ph.: 09820365750 Nadkarni Chowk Wadala (E), Ph.: 09702730073 Hamam Street, Fort, Ph.: 022-2272781-82</p> <p>PULGAON Sharekhan Limited Mill Road, Ph.: 07158-282211 / 283361 / 09370180008</p> <p>THANE Sharekhan Limited Sagar Kiran Navghar Road, Bhayander (E), Ph.: 08879453219 G. B. Road Thane (W), Ph.: 093240886939 Station Road Nallasopara (W), Ph.: 08390797846 Naupada, Thane (W), Ph.: 09819586940 / 09769099956 Station Road, Sai Nagar Navghar, Vasai (W), Ph.: 09029315804 Near Birla College, Kalyan (W), Ph.: 09820811414 / 09004095581 Neelkanth Green Manpada, Thane (W), Ph.: 09820397159 The Saraswat Co-Operative Bank Limited Boisar Navapura Rd Boisar (W), Ph.: 02525-278666 / 277666</p> <p>NEW DELHI</p> <p>DELHI Sharekhan Limited Vijay Block, Laxmi Nagar, Ph.: 011-492628001-15 / 09871351935</p> <p>ORISSA</p> <p>NIMAPARA HDFC Bank Limited Nimapara Bazar, Ph.: 06758-250567</p> <p>PUDUCHERRY</p> <p>KARAIKAL HDFC Bank Limited Bharathiyar Road, Ph.: 04368-228832</p>	<p>PUNJAB</p> <p>AMRITSAR Sharekhan Limited New Misri Bazar, Ph.: 0183-2552500 / 09417219804</p> <p>SANGRUR IDBI Bank Limited MP Towers, Ph.: 01672-501115</p> <p>RAJASTHAN</p> <p>JAIPUR Sharekhan Limited Vidhyadhar Nagar, Ph.: 0141-5170110 / 09829067710</p> <p>SRI GANGANAGAR Sharekhan Limited 38 C Block First Floor, Ph.: 0154-2475510 / 2471881 / 09352746421</p> <p>TAMIL NADU</p> <p>COIMBATORE Sharekhan Limited New Scheme Road Pollachi, Ph.: 09003353714</p> <p>KOVILPATTI Sharekhan Limited Aks Theatre Road, Ph.: 04632-229607 / 09843129307</p> <p>VELLORE Sharekhan Limited No. 26 Officers Line, Krishna Nagar, Ph.: 0416-2222075 / 09600916716</p> <p>UTTAR PRADESH</p> <p>AGRA HDFC Bank Limited Taj Road, Sadar Bazar, Ph.: 0562-2225417</p> <p>GHAZIPIUR Axis Bank Limited Mahua Bagh, Ph.: 0548-2226735-37</p> <p>LUCKNOW IDBI Bank Limited CRPF Chouraha, Village Bijnor, Ph.: 0522-2994242 Sharekhan Limited Shahnajaf Road Hazaratganj, Ph.: 0522-4101164 / 09415006320</p> <p>NOIDA HDFC Bank Limited Eldeco Studio Apartments, Ph.: 0120-08595357848</p> <p>UNNAO HDFC Bank Limited Bangarmau, Ph.: 05144-250081</p> <p>SHAHJAHANPUR Sharekhan Limited Puram Colony, Ph.: 05842-228102 / 09358759577</p> <p>WEST BENGAL</p> <p>BANKURA Sharekhan Limited Ph.: 03242-252297 / 09433431395 / 09531571984</p> <p>KOLKATA Axis Bank Limited Prince Anwar Shah Connector, Ph.: 033-24150137</p> <p>RANAGHAT HDFC Bank Limited GNPC Road Nadia, Ph.: 03473-215037</p> <p>SOUTH 24 PARGANAS Sharekhan Limited Ph.: 033-24954167 / 09062502851</p>
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Securities admitted for Dematerialisation during April 2013

COMPANY	ISIN	COMPANY	ISIN
3I Infotech Bpo Limited	INE725001015	M.P. Polypropylene Limited	INE739N01018
Abis Exports (India) Private Limited	INE805001015	Mahavir Finance Limited	INE753001017
Archana Hitech Consultants Limited	INE767001017	Meenakshi Tea Company Limited	INE411001012
Arkay Energy (Rameswaram) Limited	INE711001015	Millitoons Entertainment Limited	INE621I01018
Arvee Synthesis Private Limited	INE795001018	Mindblowing Properties Private Limited	INE826001011
Ashoka Marketing Limited	INE283F01013	Mindblowing Real Estates Private Limited	INE820001014
Atria Brindavan Power Limited	INE774001013	Mobme Wireless Solutions Limited	INE624001010
Autocal Solutions Private Limited	INE798001012	Mysore Polymers and Rubber Products Limited	INE799001010
Bareilly Highways Project Limited	INE719001018	Neogrowth Credit Private Limited	INE814001017
Bhadresh Trading Corporation Limited	INE718001010	Nirupam Energy Projects Private Limited	INE801001014
Bhartiya Urban Infrastructure & Land Development Co. Pvt. Ltd.	INE848001015	Onesource Techmedia Limited	INE807001011
Brahaspati Real Estates Private Limited	INE827001019	ONGC Tripura Power Company Limited	INE566001013
Chennai Ferrous Industries Limited	INE777001016	Peter England Fashions and Retail Limited	INE647001011
Clean Wind Power (Devgarh) Private Limited	INE781001018	Planet Earth Estates Private Limited	INE825001013
Combine Holding Limited	INE654001017	Plazma Technologies Private Limited	INE726001013
Concast Steels & Alloys Limited	INE802001012	Poddar Bio - Diesel Private Limited	INE775001010
Dhanshree Properties Private Limited	INE300001017	R. K. M Powergen Private Limited	INE833001017
Exora Business Parks Private Limited	INE731001013	S. Chand Hotels Private Limited	INE772001017
Gabino Developers Private Limited	INE813001019	Sahu Jain Limited	INE655001014
Gita Renewable Energy Limited	INE776001018	Sakaralal Balabhai and Company Limited	INE762001018
Greenscape Developers Private Limited	INE823001018	Scaleban Equipments Private Limited	INE804001018
GVR Behari Hanumana Tollway Private Limited	INE840001012	Sembmarine Kakinada Limited	INE721001014
GVR Khandaphod Bijwad Road Project Pvt. Ltd.	INE838001016	Sindhura Properties Private Limited	INE782001016
GVR Panna Amanganj Tollway Private Limited	INE839001014	SPG Realty Private Limited	INE756001010
Ind-Barath Thermal Power Limited	INE809001017	SPS Finquest Private Limited	INE502001018
International Road Dynamics South Asia Pvt. Ltd.	INE841001010	Strategic India Real Estate Management Co. Pvt Ltd.	INE796001016
Internet Valley Limited	INE822001010	Surana Infocom Private Limited	INE824001016
ITTI Private Limited	INE720001016	Swadeshi Polytex Limited	INE243N01011
JK Agri Genetics Limited	INE690001011	TM Investments Limited	INE760001012
Joy Realty Limited	INE433001016	Transaction Solutions International (India) Private Limited	INE812001011
Juliet Apparels Limited	INE773001015	TVS Energy Limited	INE831001011
Juliet Industries Limited	INE771001019	TVS Wind Energy Limited	INE830001013
Jupiter Solar Power Limited	INE811001013	TVS Wind Power Limited	INE836001010
Karpara Project Engineering Private Limited	INE815001014	Visa Minmetal Limited	INE733001019
Kyang Tea Seed Company Limited	INE710001017	Visa Realty Limited	INE755001012
L & T Power Development Limited	INE832001019	VK Projects Private Limited	INE780001010
L&T Deccan Tollways Limited	INE761001010	Welspun Energy Maharashtra Private Limited	INE803001010
Logica Private Limited	INE784001012	Yamne Power Private Limited	INE754001015
Lohit Urja Private Limited	INE779001012	Zigma Retail Private Limited	INE821001012

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NSDL Calendar of Events

Compliance Requirement

Sr.No.	Particulars	Reference	Due date	Mode of sending
1	Compliance Certificate (January - June)	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006.	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in . Alternatively, by hard copy to Business Partner Inspection Department.

'KNOWLEDGE WINS' Contest

Is an investor holding physical share certificate eligible under RGESS scheme?

Send your replies providing your contact details (Contant Name, address & contact no.) with the subject 'Knowledge Wins Contest - May 2013' to info@nsdl.co.in

Lucky 25
Winners will
Win Free
Goodies

Terms and Conditions

- NSDL shall be solely responsible for the execution and administration of this Contest.
- This Contest is only open to Indian Citizens. (NSDL employees are not allowed to participate in this contest.)
- All personal details submitted must be accurate and complete and are subject to proof upon request by NSDL.
- NSDL reserves the right, at any time, to verify the validity of entries and entrants and to disqualify any entry not submitted in accordance with these terms or which tampers with the entry process.
- NSDL reserves the right to discontinue the contest at any given point of time without prior intimation.
- All prize drawings will made on a strictly random basis and the decision made by NSDL will be final

NSDL Offices

Head Office

Mumbai
4th Floor, 'A' Wing,
Trade World,
Kamala Mills Compound,
Senapati Bapat Marg,
Lower Parel,
Mumbai - 400013
Tel: (022) 24994200 /
40904200
Fax: (022) 24976351

Branch Offices

Ahmedabad
Unit No. 407, 4th floor, 3rd Eye One Commercial
Complex Co-op. Soc. Ltd.,
C. G. Road, Near Panchvati Circle,
Ahmedabad - 380006
Tel: (079) 26461376, Fax: (079) 26461375

Kolkata
5th Floor, The Millenium, Flat No. 5W, 235 / 2A,
Acharya Jagdish Chandra Bose Road,
Kolkata - 700020
Tel: (033) 22814661 / 62, Fax: (033) 22891945

Chennai
6A, 6th Floor, Kences Towers,
#1 Ramkrishna Street, North Usman Road,
T. Nagar, Chennai - 600017
Tel: (044) 28143917 / 18, Fax: (044) 28144593

New Delhi
409 / 410, Ashoka Estate Building, 4th Floor,
Barakhamba Road, Connaught Place,
New Delhi - 110001
Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: trainingdept@nsdl.co.in

For more information, email us at info@nsdl.co.in

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TOPIC OF INTEREST

Know more about Rajiv Gandhi Equity Savings Scheme (RGESS)

(Continued from April 2013 issue)

10. I possess some physical shares; Am I eligible under RGESS?

Ans. Yes. You will be considered as a new retail investor, if otherwise eligible. However, you need to make fresh investments to avail of the benefits under RGESS. You will not be eligible to claim benefits of RGESS on dematerialisation of such shares.

11. What are the investment options available under the Scheme? What are the “eligible securities” under RGESS?

Ans. The investment options under the scheme will be limited to the following categories of equities*:

Listed equity shares

- a) The top 100 stocks at NSE and BSE i.e., CNX-100 / BSE -100 (This does not mean that one has to trade through NSE or BSE only. If the securities constituting BSE 100 or CNX 100 are listed and traded in any new stock exchange that may come up on a later day, the same will be eligible for RGESS.)
- b) Stocks of public sector enterprises which are categorized by the Government as Maharatna, Navaratna and Miniratna.
- c) Combinations of stocks in (a) and /or (b) which are listed and traded on a Stock Exchange and settled through a Depository system (eg. Exchange Traded Funds (ETFs) or Mutual Fund (MF) schemes with RGESS eligible securities as mentioned in (a) and / or (b) as underlying, provided they are listed and traded on a stock exchange and settled through a depository mechanism).
- d) Follow-on Public Offers (FPOs) of (a) and (b).
- e) New Fund Offers (NFOs) of (c) above.

Unlisted equity shares

- f) Initial Public Offers (IPOs) of PSUs, which are scheduled to get listed in the relevant financial year and where the government holding is at least 51% and whose annual turnover is not less than ₹4000 cr. for each of the immediate past three years.
(*Investment criteria as applicable at the time of investment)

12. Where can I get information about these eligible stocks?

Ans. The consolidated and updated list of eligible securities from time to time is published on the websites of Exchanges / Depositories / AMFI. For detailed information see at the relevant pages of the websites of SEBI, NSE, BSE, NSDL, CDSL and AMFI. As regards eligible IPOs, companies would be publishing this information in their offer documents / public advertisements.

13. Why RGESS Investments are limited to top 100 stocks?

Ans. The Scheme is designed for new investors who are venturing in the equity markets for the first time. The choice of investments have been restricted to the stocks included in BSE 100 or CNX 100 and to selected PSU stocks as they generally have shown relatively lower volatility, higher liquidity, and there is adequate reporting and analysis available in the market. The range of 100 stocks also provides enough scope for diversification of investments.

14. When I made the investment, the particular stock was in BSE 100; thereafter it was removed from the BSE 100 list by the exchange; Is my investment still eligible for RGESS when I file my returns?

Ans. A stock has to be in BSE 100 or CNX 100 only at the time at which the investments are made. This means that even if the stock moves out of CNX 100 / BSE 100, the investor would be deemed to be compliant for RGESS. However, his rights are limited to just selling those stocks off from RGESS portfolio. If he repurchases / make additions to the existing stock, then the additional stock will not be counted as a part of the RGESS portfolio.

15. I applied for the IPO in the month of March; However, the company got listed in the stock exchange only in April i.e, in the next financial year. Is my investment eligible?

Ans. No, only if it is scheduled to be listed in the same financial year the investment is eligible.

to be continued in next issue...